



# Community Radiative Transfer Model: Status and Development

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# People

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- JCSDA Team
  - Quanhua Liu
  - Yong Chen
  - David Groff
  - Banghua Yan
  - Fuzhong Weng
  - Ron Vogel
- Invaluable feedback from
  - NRL; Ben Ruston and Nancy Baker
  - NCAR; Zhiquan Liu



# Outline

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- Current Status
  - Preamble
  - Components
- Development
  - Transmittance models
  - Emissivity models
  - Radiative Transfer schemes
  - Visible channels



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# Current Status – Preamble

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- Current CRTM release is v1.1 (Feb.29, 2008)
- Source code and coefficient tarballs available at:  
`ftp://ftp.emc.ncep.noaa.gov/jcsda/CRTM`
- Mailing list can be subscribed to at:  
`https://lstsrv.ncep.noaa.gov/mailman/listinfo`  
and click on the  
`NCEP.List.EMC.JCSDA_CRTM`  
link.
- Next scheduled release is v1.2 for Jul.01, 2008 (delayed?).
  - Will also include web page.
  - “Public” repository may also be accessible.



# Current Status – Components

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- Four models
  - FWD, TL, AD, and K-Matrix
- Atmospheric Optics
  - Gaseous Absorption
  - Clouds
  - Aerosols
- Surface Optics
  - Infrared Land, Ocean, Snow, and Ice emissivity models
  - Microwave Land, Ocean, Snow, and Ice emissivity models.
- Radiative Transfer
  - Clear: view angle emission model
  - Scattering: Advanced Doubling-Adding (ADA) algorithm.



# Current Status – Atmospheric Optics

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# Current Status – Atmospheric Optics

- Atmospheric Optics
  - Gaseous Absorption
  - Clouds

- Gaseous absorption in the CRTM is computed using the “compact” OPTRAN algorithm. Vertical profiles of absorption coefficient are predicted from a set of polynomial basis functions.
- Water vapour, ozone, and “dry” gas absorption.
- Water vapour continuum is poorly handled.
- Training uses LBLRTM v9.4 (IR) and Liebe89/93 (MW) line-by-line transmittances. Rosenkranz (MW) option.
- HITRAN2000 + AER updates. UMBC48 dependent profile set.



# Current Status – Atmospheric Optics

- Atmospheric Optics
  - Gaseous Absorption
  - Clouds

## Extra Layering.

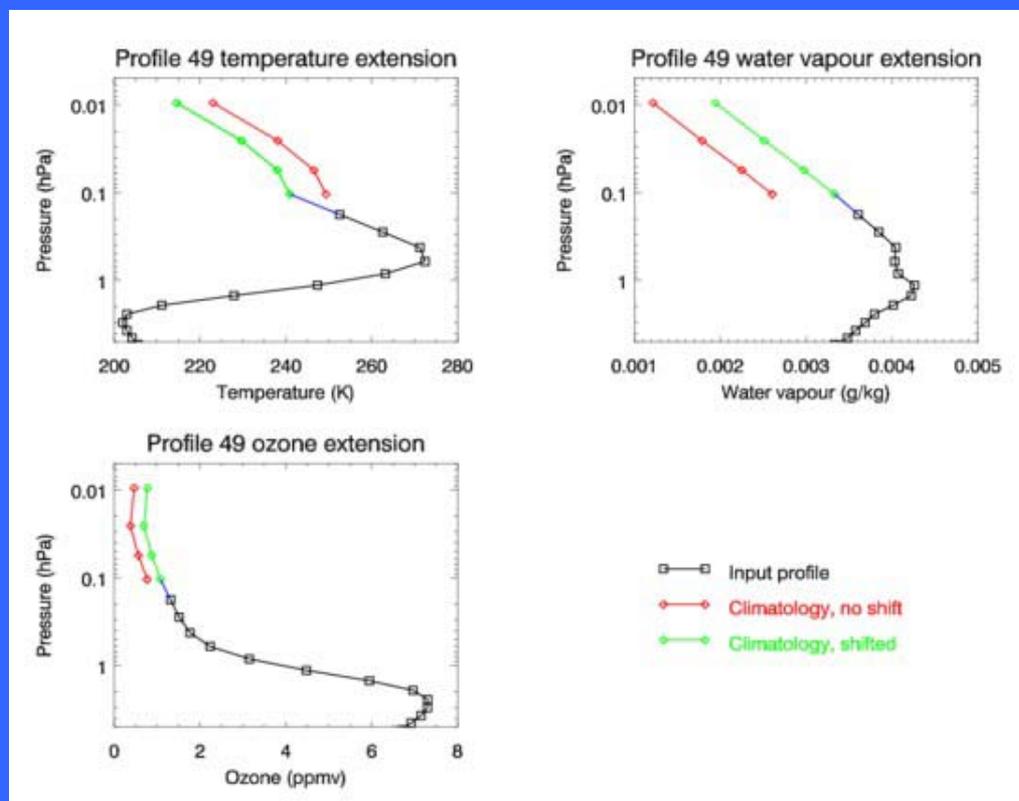
- OPTRAN operates in absorber, rather than pressure, level space.
- For “dry gas” absorbers, pressure is used as proxy absorber amount.
- Thus, unlike water vapour and ozone absorbers, the start of the dry gas absorber space is always at the defined TOA pressure: 0.005hPa.
- CRTM treats the atmosphere from the bottom of the first user input level to TOA as a single (potentially thick) layer.
- Top layer temperature Jacobians can be very large.

# Current Status – Atmospheric Optics

- Atmospheric Optics
  - Gaseous Absorption
  - Clouds

## Extra Layering.

- Supplement the user input profile with climatological profile data up to the CRTM TOA.
- Profile is blended via a simple shift to minimise discontinuities.



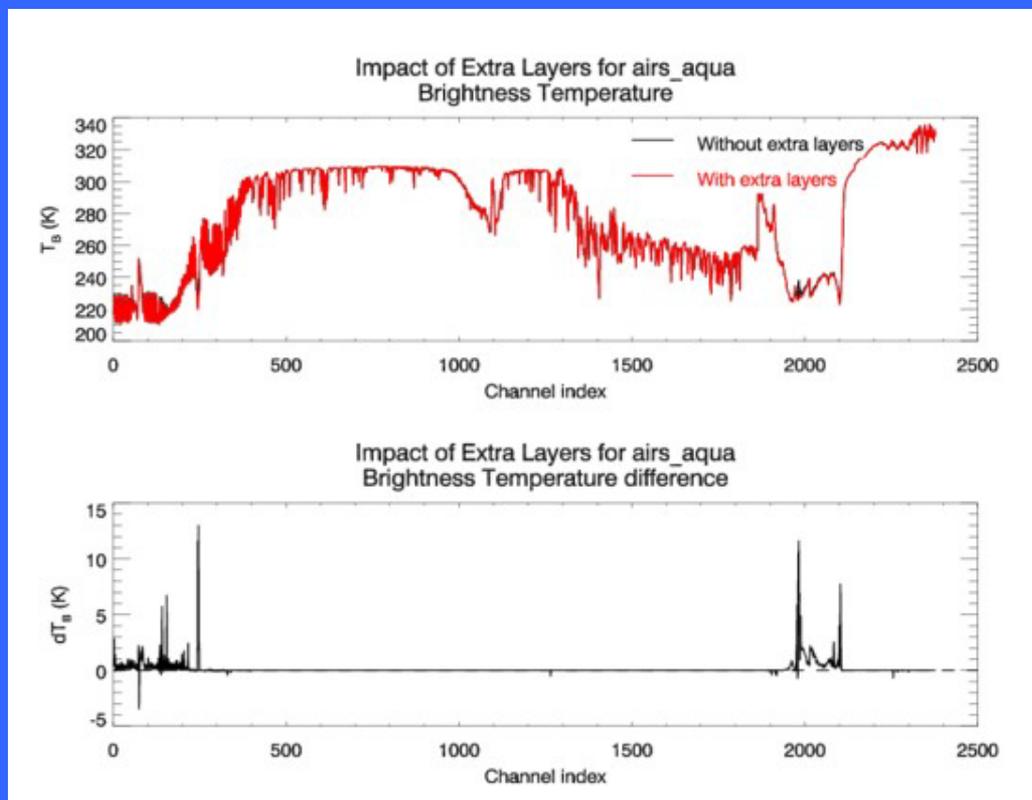


# Current Status – Atmospheric Optics

- Atmospheric Optics
  - Gaseous Absorption
  - Clouds

## Extra Layering.

- AIRS forward model impact for a single profile.
- Impact in upper level channels can be 10's of degrees.



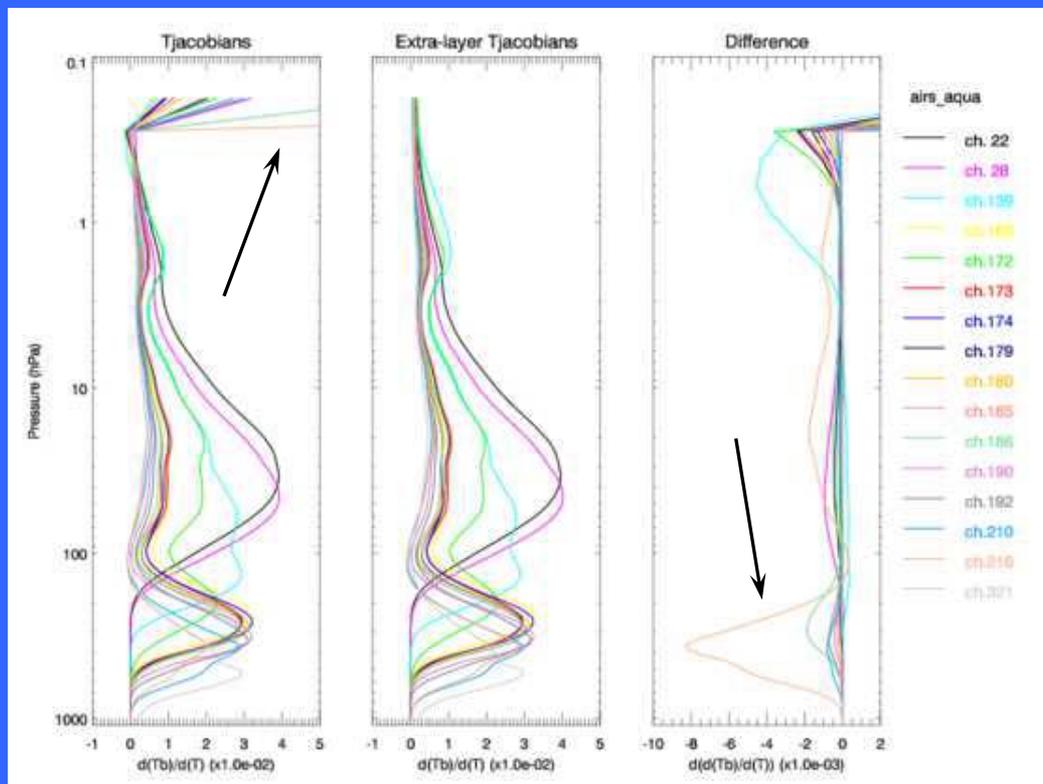


# Current Status – Atmospheric Optics

- Atmospheric Optics
  - Gaseous Absorption
  - Clouds

## Extra Layering.

- AIRS K-matrix model impact for selected channels for a single profile.
- Note impacts at lower levels. These channels are the ones with the largest upper level excursions.





# Current Status – Atmospheric Optics

- Atmospheric Optics
  - Gaseous Absorption
  - Clouds
  - Aerosols

- Six cloud types
  - Water, rain, snow, ice, graupel, and hail.
- Cloud optical properties are interpolated from LUTs as functions of frequency, effective radius, temperature (liquid), and density (solid).
- Currently assume spherical particles.
- Need to supplement LUT data to increase data range (no extrapolation is performed) and density (to minimise interpolation artifacts).



# Current Status – Atmospheric Optics

- Atmospheric Optics
  - Gaseous Absorption
  - Clouds
  - Aerosols

- Eight aerosol types
  - Dust, sea salt (SSAM, SSCM), wet and dry organic carbon, wet and dry black carbon, sulfate.
- Aerosol optical properties are interpolated from LUTs as functions of frequency and effective radius.
- Currently assume spherical particles.
- Need to correct some LUT anomalies (repeated radii, partially discretised data)



# Current Status – Surface Optics

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  - Aerosols
- Surface Optics
  - Infrared Land, Ocean, Snow, and Ice emissivity models
  - Microwave Land, Ocean, Snow, and Ice emissivity models.
- Radiative Transfer
  - Clear: view angle emission model
  - Scattering: Advanced Doubling-Adding (ADA) algorithm.



# Current Status – Surface Optics

- Surface Optics
  - Infrared Land, Ocean, Snow, and Ice emissivity models
  - Microwave Land, Ocean, Snow, and Ice emissivity models.

- No operational changes.
- **Ocean:** Emissivity LUT based on Wu-Smith model (ensemble mean of  $1-r$ ) generated at high resolution. Emissivity interpolated as a function of view angle, wind speed, and frequency.
- **Land, Snow, and Ice:** Emissivity database LUT. Measurement database is for various land, snow and ice surface types. 24 surface types in total (NPOESS Net Heat Flux ATBD, 2001).



# Current Status – Surface Optics

- Surface Optics
  - Infrared Land, Ocean, Snow, and Ice emissivity models
  - Microwave Land, Ocean, Snow, and Ice emissivity models.

- Operational change: Addition of MHS Snow and Ice models.
- **Ocean:** FASTEM-1. NESDIS model is an option.
- **Land:** Physical model when  $f < 80\text{GHz}$ ,  $\epsilon = 0.95$  for  $f \geq 80\text{GHz}$ .
- **Snow:** Empirical models for AMSU, MHS, AMSR-E, MSU, and SSM/I. Physical model for other sensors when  $f < 80\text{GHz}$ ,  $\epsilon = 0.9$  for  $f \geq 80\text{GHz}$ .
- **Ice:** Empirical models for AMSU, MHS, AMSR-E, MSU, and SSM/I.  $\epsilon = 0.92$  for other sensors.

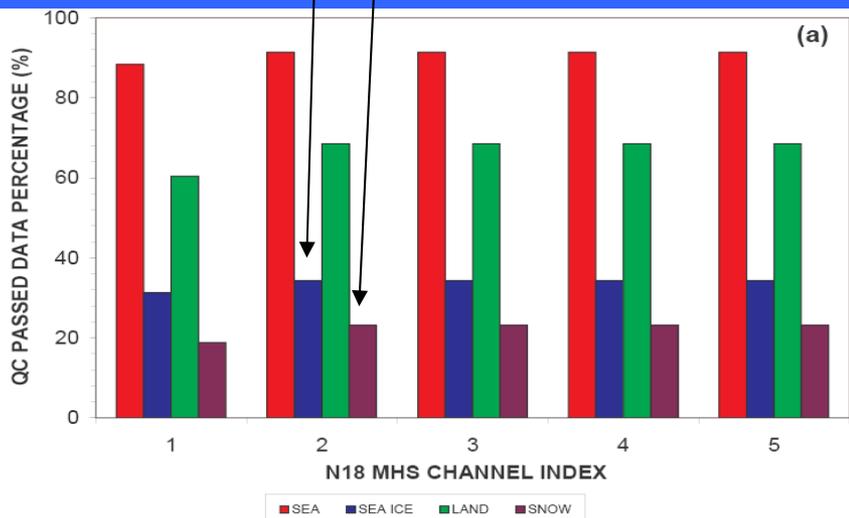


# Current Status – Surface Optics

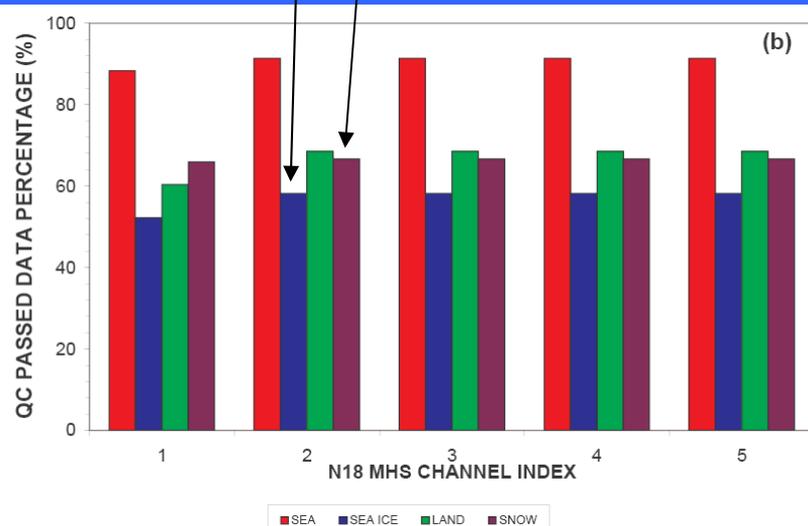
- Surface Optics
  - Infrared Land, Ocean, Snow, and Ice emissivity models
  - **Microwave** Land, Ocean, Snow, and Ice emissivity models.

## • Assimilation impact of new MHS Snow and Sea Ice emissivity models.

Old Model  
low snow and sea ice data usage



New Model  
increased snow and sea ice data usage

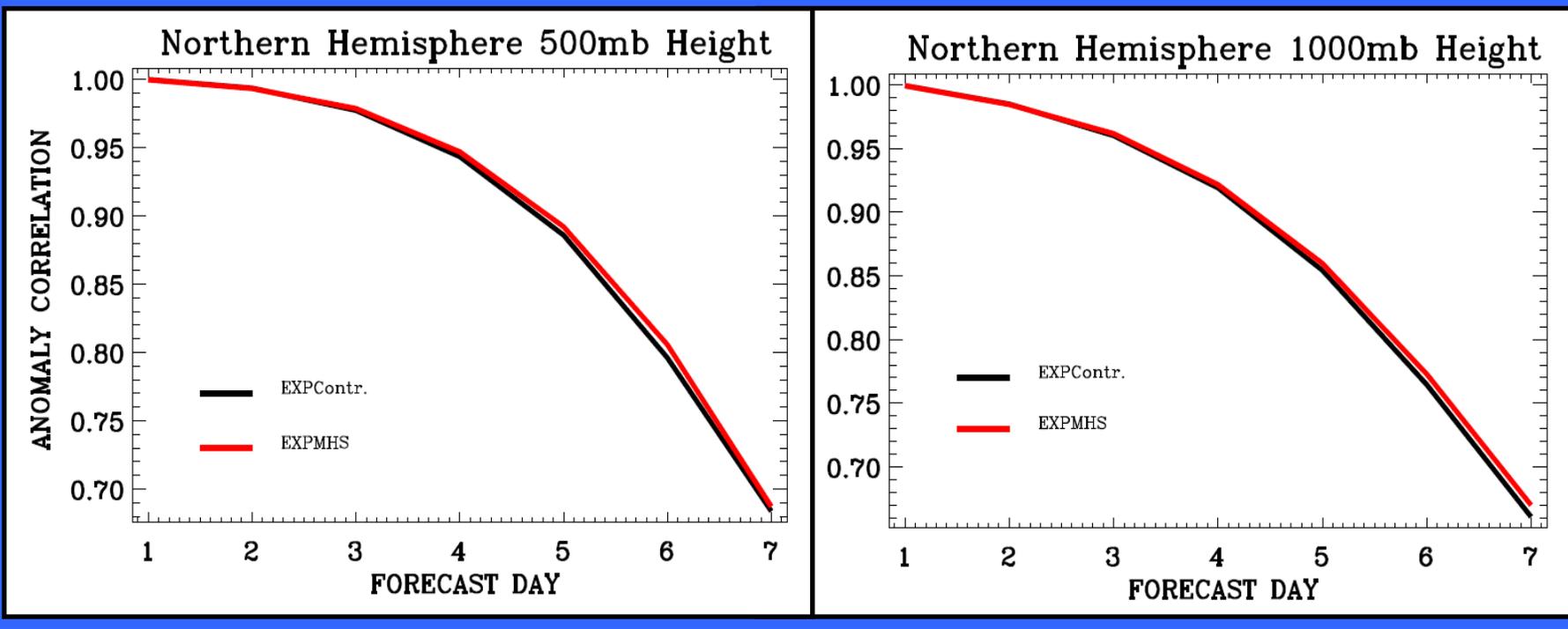




# Current Status – Surface Optics

- Surface Optics
  - Infrared Land, Ocean, Snow, and Ice emissivity models
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# Current Status – Radiative Transfer

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# Current Status – Radiative Transfer

- Radiative Transfer
  - Clear: view angle emission model
  - Scattering: Advanced Doubling-Adding (ADA) algorithm.

- Downwelling radiation computed at diffuse angle for Lambertian surface (IR sensors) or at the satellite zenith angle for specular surface (MW sensors).
- Surface reflected solar radiation is included.
- Cloud and aerosol pure absorptions are accounted for.



# Current Status – Radiative Transfer

- Radiative Transfer
  - Clear: view angle emission model
  - **Scattering:** Advanced Doubling-Adding (ADA) algorithm.

- A strict multiple scattering method for any discrete-ordinate angles (i.e. streams).
- Sensor zenith angle is included as an additional stream.
- Layer transmission and reflection matrices are calculated using a doubling method; layer source function is a linear analytic expression of the transmission and reflection matrices. A stack technique is used for integrating layers and surface.
- Surface reflection matrix is used.



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# Development – Transmittance Models

- SSU model
  - Developed for NCEP reanalysis
- Model that accounts for Zeeman-splitting.
  - Fast Zeeman RT model for AMSU-A channel 14
  - Earth rotation Doppler shift effect and channel polarization in SSMIS
- New CRTM transmittance module
  - Multiple algorithm
  - Addition of trace gases.
- Line-by-line model updates
  - Improvement in microwave continuum.
  - Recomputation of infrared transmittances.



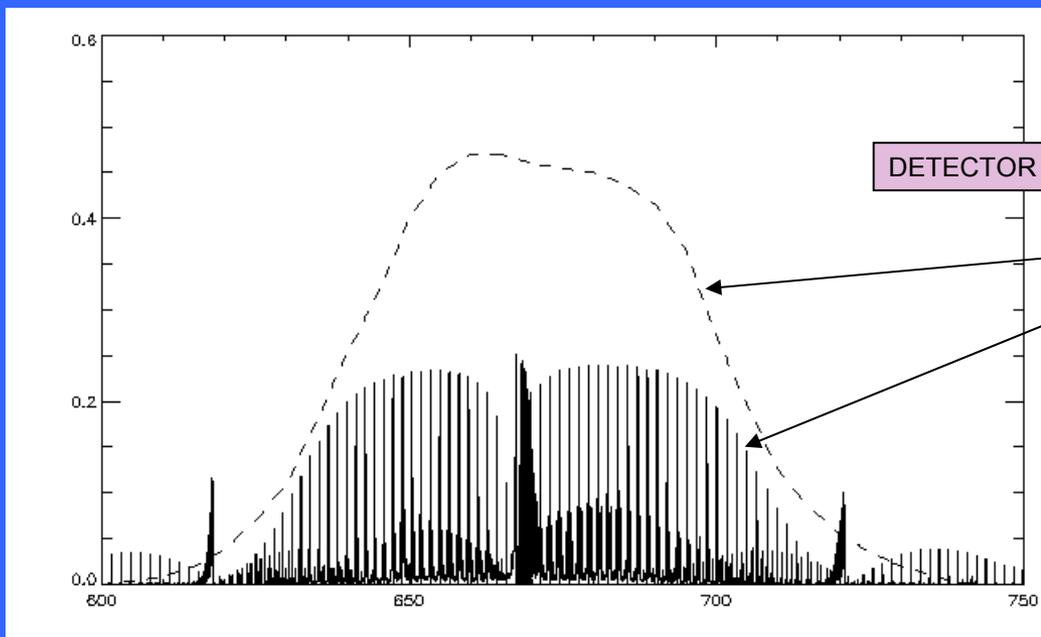
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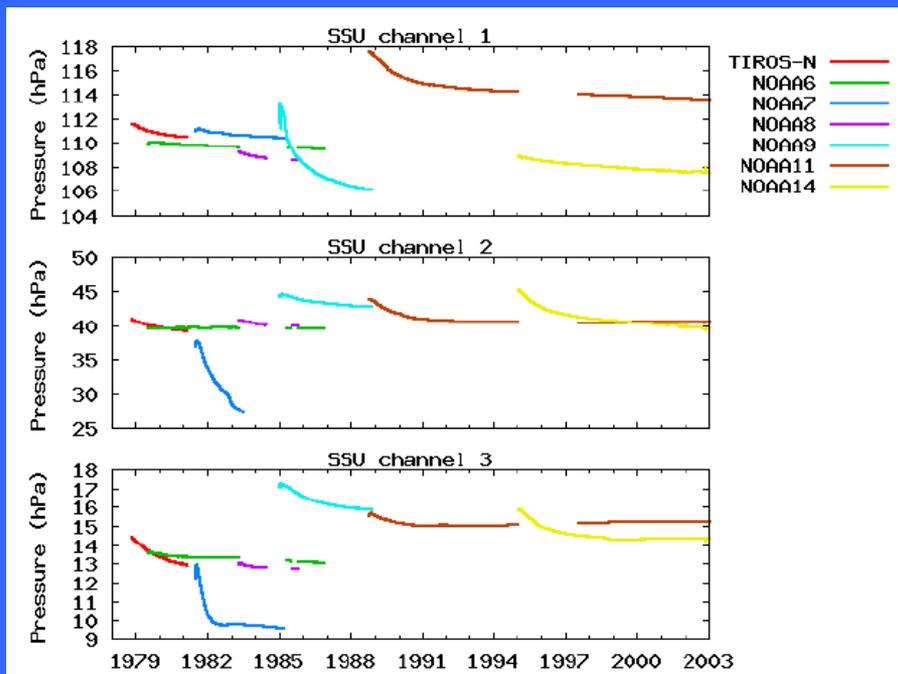
• SSU SRFs are the product of traditional broadband and the CO<sub>2</sub> cell absorption response.



# Development – Transmittance Models

- SSU model
  - Developed for NCEP reanalysis

• CO<sub>2</sub> leakage in cell pressure modulator causes SRF variation.



From Dr. Shinya Kobayashi, ECMWF



# Development – Transmittance Models

- SSU model
  - Developed for NCEP reanalysis

- The transmittance model is compactOPTRAN
- The regression coefficients are stored as a function of CO<sub>2</sub> cell pressure,

$$k_i = \sum_{j=1}^m c_{i,j} (P_{cell}) X_{i,j}$$

Absorption coefficient  
for layer  $i$

Regression coefficients

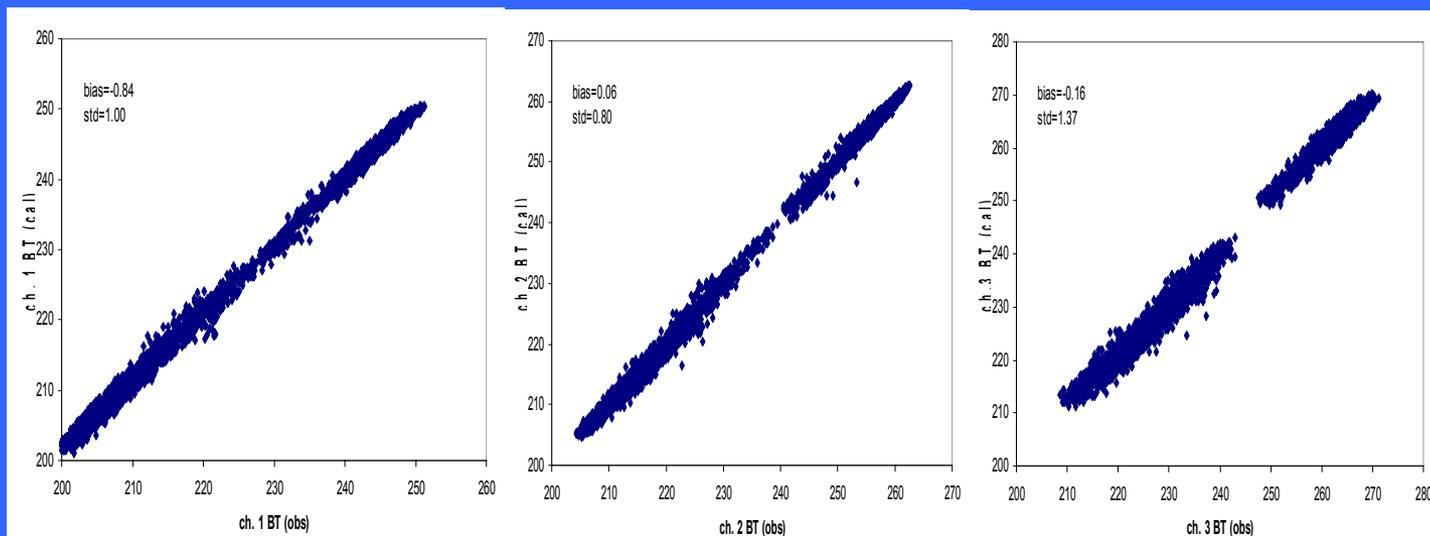
Predictors



# Development – Transmittance Models

- SSU model
  - Developed for NCEP reanalysis

- Validation using Microwave Limb Sounding Product.
- SSU and MLS data in 11/2004 for all match-up points,



Ch.1

Ch.2

Ch.3



# Development – Transmittance Models

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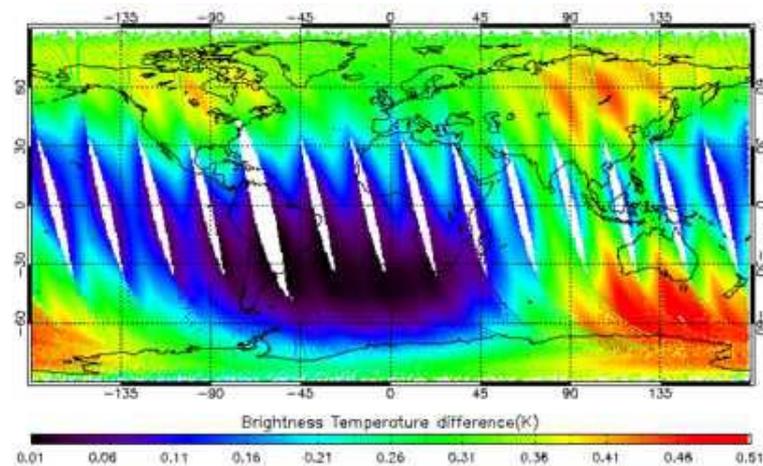
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# Development – Transmittance Models

- Model that accounts for Zeeman-splitting.
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- Zeeman splitting can have an effect of up to 0.5 K on AMSU-A channel 14.
- The radiation is polarized.
- A fast model is developed to take the effect and polarization into account.
- Software has also been developed to compute the parameters needed for the model from the 1B data stream.

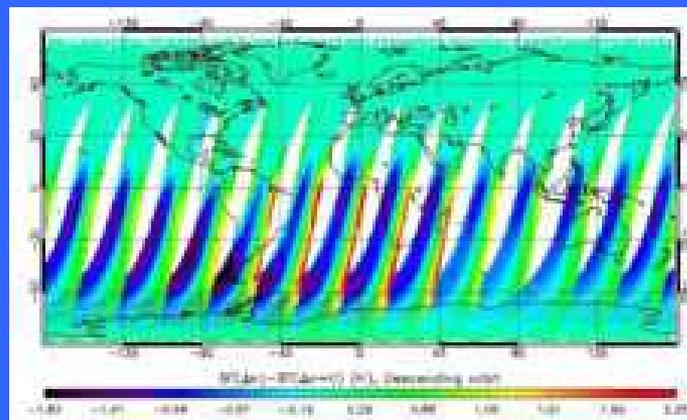
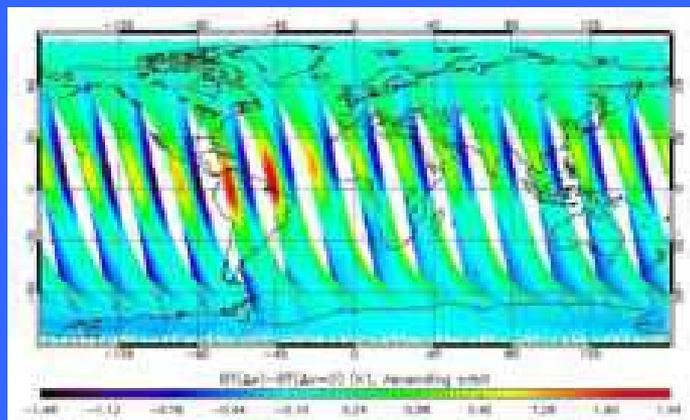


**Difference between RT models with and without the inclusion of the Zeeman effect**

# Development – Transmittance Models

- Model that accounts for Zeeman-splitting.
  - Fast Zeeman RT model for AMSU-A channel 14
  - Earth rotation Doppler shift effect and channel polarization in SSMIS

- Earth rotation Doppler shift (up to 75kHz) has significant impact (up to 2K) on SSMIS channels 19-21.



Simulated brightness temperature differences for SSMIS ch.20 with and without the inclusion of the Doppler shift effect



# Development – Transmittance Models

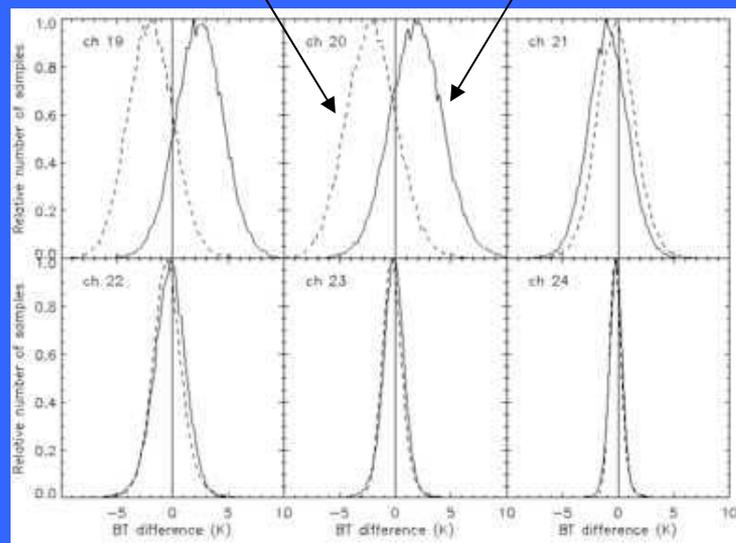
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• Receivers of the UAS channels are confirmed to be right circularly polarized; knowing the correct polarization is important in the presence of the Doppler shift

Descending,  $\cos(\theta_B) \approx 0.6$

Ascending,  $\cos(\theta_B) \approx -0.6$

Histogram of the measured BT difference between the east- and west-most pixels of the scans. Pattern matches that from RCP receivers





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# Development – Transmittance Models

- New CRTM transmittance module
  - Multiple algorithm
  - Addition of trace gases.

- Current transmittance algorithm: CompactOPTRAN
  - Advantages:** Smooth Jacobian profiles; Small memory footprint.
  - Disadvantages:** Poor accuracy in some channels; Predictand is  $\ln(k^*)$  and  $k^*$  can be negative; Polynomial evaluation is computationally expensive.
- Adapt CRTM to accept multiple algorithms for transmittance calculations; OPTRAN, RTTOV, SARTA.
- Also allows CRTM to use instrument- and channel-specific transmittance algorithms; e.g. SSU, Zeeman.



# Development – Transmittance Models

- New CRTM transmittance module
  - Multiple algorithm
  - Addition of trace gases.

- Current algorithm can still only handle  $H_2O$  and  $O_3$ .
- Add  $CO_2$ ,  $CO$ ,  $CH_4$ , and  $N_2O$  as variable gases.
- Possibly others. E.g.  $SO_2$  and CFCs.



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# Development – Transmittance Models

- Line-by-line model updates
  - Improvement in microwave continuum.
  - Recomputation of infrared transmittances.

- AER, Inc. is working on improving the microwave continuum in their MonoRTM model. Currently, the CRTM is trained using Liebe model; switch to MonoRTM when work completed.
- Recompute the infrared transmittances using latest version of LBLRTM (also from AER, Inc.)



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# Development – Emissivity Models

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- Infrared Emissivity
  - Land emissivity
  - Ocean Emissivity
- Microwave Emissivity
  - Empirical models for SSMIS.
  - Low-frequency ocean emissivity model.
  - Multilayer soil and vegetation land emissivity model.
  - Improvement of physical snow emissivity model.



# Development – Emissivity Models

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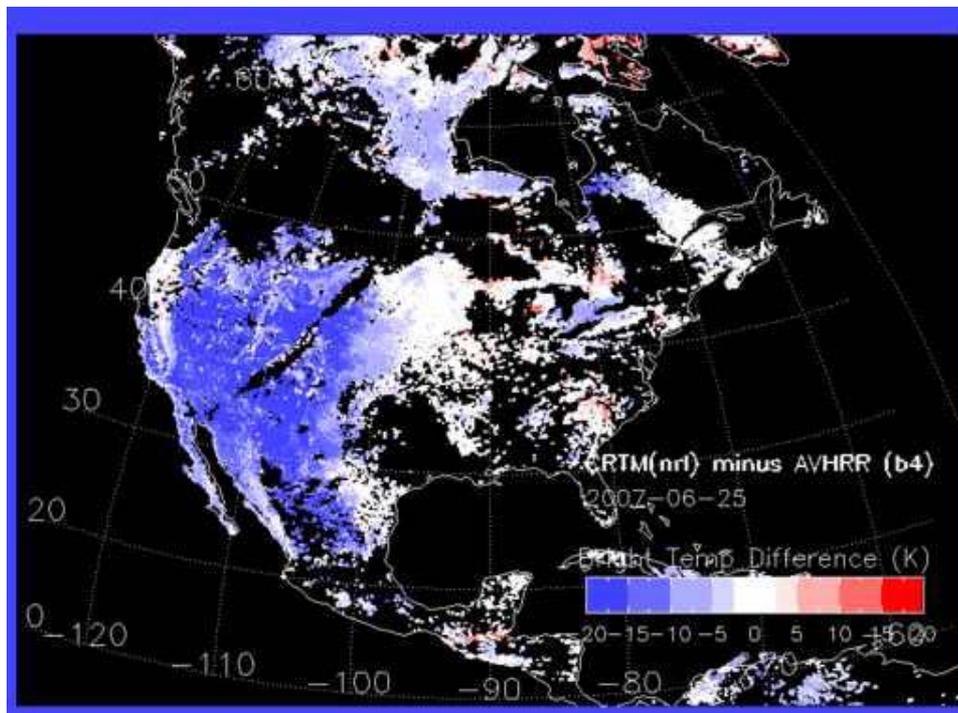
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# Development – Emissivity Models

- Infrared Emissivity
  - Land emissivity
  - Ocean Emissivity

- Evaluation of NRL emissivity model in CRTM:
  - CRTM compared to AVHRR
  - CRTM run with current internal emissivity and new NRL emissivity
  - Resulting high bias (15K) is due to biased surface temperature input to CRTM.
- Effort underway to establish surface emissivity testbed with accurate surface temperature measurements.
- New reflectance spectra for matching CRTM with GFS/GDAS land classes using new NCEP classification.



**CRTM with NRL emissivity minus AVHRR Tb (band 4)**



# Development – Emissivity Models

- Infrared Emissivity
  - Land emissivity
  - Ocean Emissivity

- Improving interpolation of emissivity LUT data (Wu-Smith model) to use new averaged quadratic interpolation module for continuous derivatives.
- Adding temperature dependence to LUT data.



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# Development – Emissivity Models

- Microwave Emissivity
  - Empirical models for SSMIS.
  - Low-frequency ocean emissivity model.
  - Multilayer soil and vegetation land emissivity model.
  - Improvement of physical snow emissivity model.

- Implementation of Masahiro Kazumori's (JCSDA Visiting Scientist from JMA) low-frequency (<20GHz) ocean surface emissivity model.
- Refactored Guillou and Ellison ocean permittivity models.
- Implemented new interpolation module for the ocean surface height variance LUT. Data is interpolated as a function of frequency and wind speed.
- FASTEM-3 will also be implemented in calling code for  $f > 20\text{GHz}$ . Use new Guillou and Ellison modules?



# Development – Emissivity Models

- Microwave Emissivity
  - Empirical models for SSMIS.
  - Low-frequency ocean emissivity model.
  - Multilayer soil and vegetation land emissivity model.
  - Improvement of physical snow emissivity model.

## **Multilayer model:**

- A radiative transfer model is being developed for vertically stratified soil and vegetation.

## **Physical snow model**

- Improving the computation of the optical properties of snow for higher frequencies.
- Addition of extra layers.



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# Development – Radiative Transfer

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- Algorithms for scattering radiative transfer
  - ADA speedup
  - Fast 2- and 4-stream models
  - SOI integration
- Optical properties for clouds and aerosols.



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# Development – Radiative Transfer

- Algorithms for scattering radiative transfer
  - ADA speedup
  - Fast 2- and 4-stream models
  - SOI integration

- It was found that the IBM Fortran95 intrinsic matrix multiplication function was extremely slow.
  - Added faster matrix multiplication functions.
  - Used library calls (e.g. ESSL, MASS libraries)
- Computational efficiency is memory-usage sensitive.
  - Refactored modules that retain the forward calculations.
- Changes save about 30% CPU time. Still not enough for cloudy radiance assimilation.



# Development – Radiative Transfer

- Algorithms for scattering radiative transfer
  - ADA speedup
  - Fast 2- and 4-stream models
  - SOI integration

- Work is continuing on the development of fast 2- and 4-stream + observation angle algorithms.
- The 4-stream + observation angle method is generally accurate for microwave and infrared simulations.
- Requires a better treatment of cloud and aerosol phase functions.
- The new 2- and 4-stream + observation algorithms use the same adding code as the ADA, but a fast transmittance, reflectance, and source function calculation in each layer is performed using a matrix operator method.



# Development – Radiative Transfer

- Algorithms for scattering radiative transfer
  - ADA speedup
  - Fast 2- and 4-stream models
  - SOI integration

- Yoshihiko Tahara visited from JMA in February to begin the integration of the SOI algorithm (from UWisc) in the CRTM.
- Main problem encountered is the unavailability of level temperatures (GSI only provides layer temperatures.)
- Different methods for layer→level conversion impact speed and accuracy.
- Need to remove use of public module variables in SOI modules for thread safety.



# Development – Radiative Transfer

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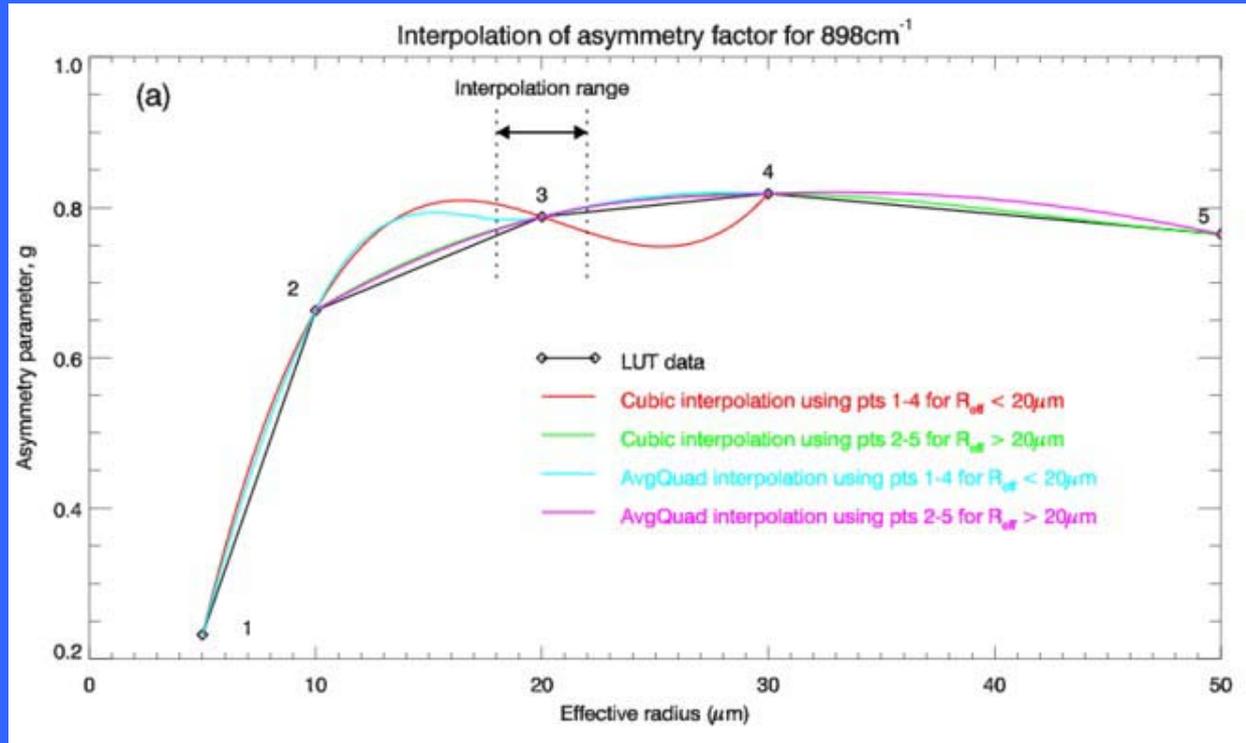


# Development – Radiative Transfer

- Optical properties for clouds and aerosols.

- Implemented new interpolation module to preserve derivatives.
- LUT data needs to be improved.

Cloud LUT data density is too low for small particles

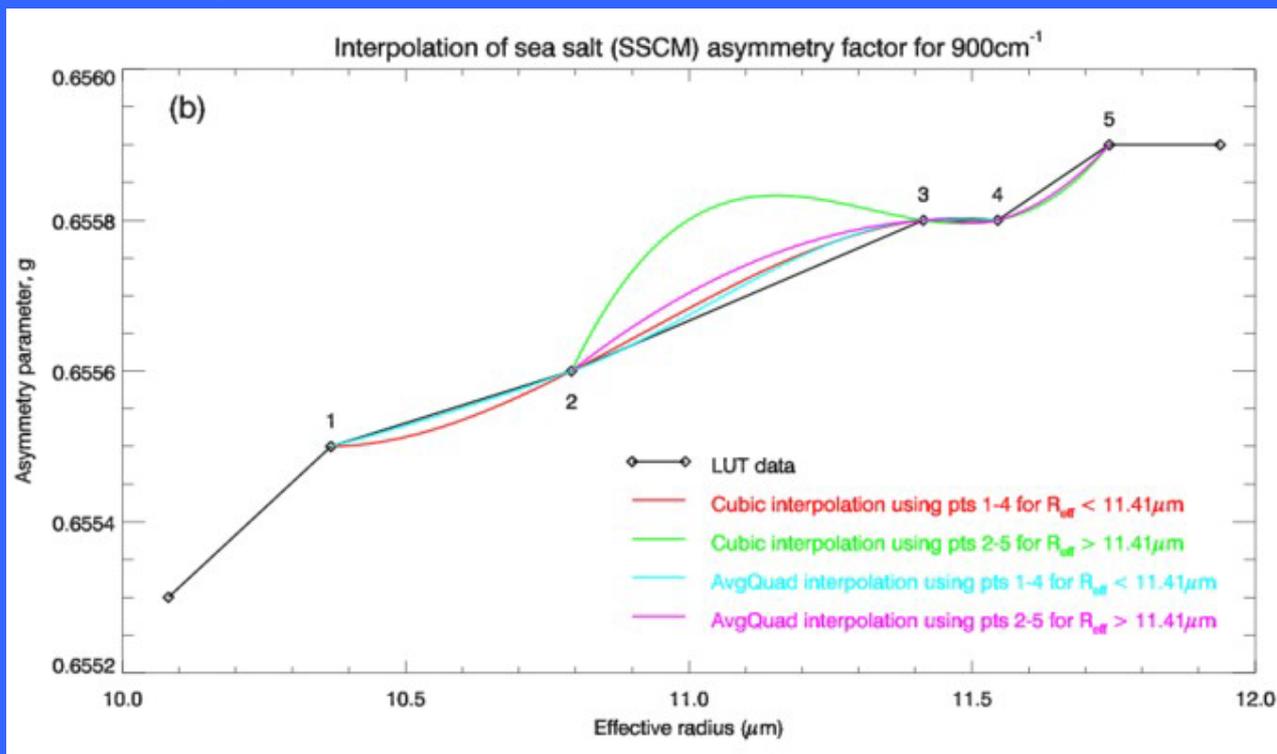


# Development – Radiative Transfer

- Optical properties for clouds and aerosols.

- Implemented new interpolation module to preserve derivatives.
- LUT data needs to be improved.

Aerosol LUT data is discretised which can cause interpolation artifacts.





# Development – Radiative Transfer

- Optical properties for clouds and aerosols.

- Cloud and Aerosol scatter modules have been refactored to retain the required intermediate forward model interpolation results for tangent-linear and adjoint calculations.
- Current cloud and aerosol LUT data are for spherical particles only.
- Non-spherical particle data for ice cloud and dust aerosol received from Ping Yang (TAMU). These data are being incorporated into the CRTM LUTs.



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# Development – Visible Channels

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- Derived analytical expressions for the scattering source function.
- Azimuthal dependence of the solar source function taken into account.
- Earth curvature effects at large solar zenith angles taken into account. (Also being applied to “regular” radiative transfer)
- Cloud and aerosol optical property LUTs are being extended into the visible spectral region.



# Development Summary

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- Good progress made in CRTM development in the last year.
  - Feedback from users proved very helpful.
  - Public code repository should be online sometime in July.
- Modules completed and waiting:
  - SSU and Zeeman models ready to be included when multiple-algorithm transmittance module is completed.
  - SSMIS snow and ice emissivity models ready to be integrated.
- Modules still being developed:
  - Multiple algorithm transmittance model.
  - Trace gas transmittance model.
  - Improved IR and MW surface emissivity models.
  - Improved cloud and aerosol LUT data.
  - Visible models.